

Succinct Arguments

Lecture 01: Introduction and Background

Logistics

- **Time:** Mondays and Wednesdays, 10:15AM-11:45AM
- **Location:** AGH 214
- **Course Website:** pratyushmishra.com/classes/cis-7000-f25/
- **Canvas: TBD**
 - Reading assignments will be posted here
- **EdStem: TBD**
 - We'll use this for all course communications
 - Ask and answer questions!
- **Waitlist:** email me (prat@seas.upenn.edu) after class

Grading

Four key components to grading:

- **Attendance + Participation (15%)**
 - This is a research seminar! We're here to learn by discussing papers, and that requires participation.
 - Can also participate on Ed (eg: asking + answering questions)
- **Reading assignments (15%)**
 - For classes marked as discussions, I will post an short-answer assignment on Canvas before-hand
- **Leading a paper discussion (25%)**
 - Students are expected to lead a discussion on a paper. This will likely happen in the 2nd half of the class
- **Final Project (45%)**
 - Research project/literature survey



Part I: Theory

- What are interactive proofs and ZKPs?
- What is a zkSNARK?
- Constructions of zkSNARKs for circuits
 - From Linear IPs
 - From Polynomial IOPs + various polynomial commitments
- Recursive composition of SNARKs

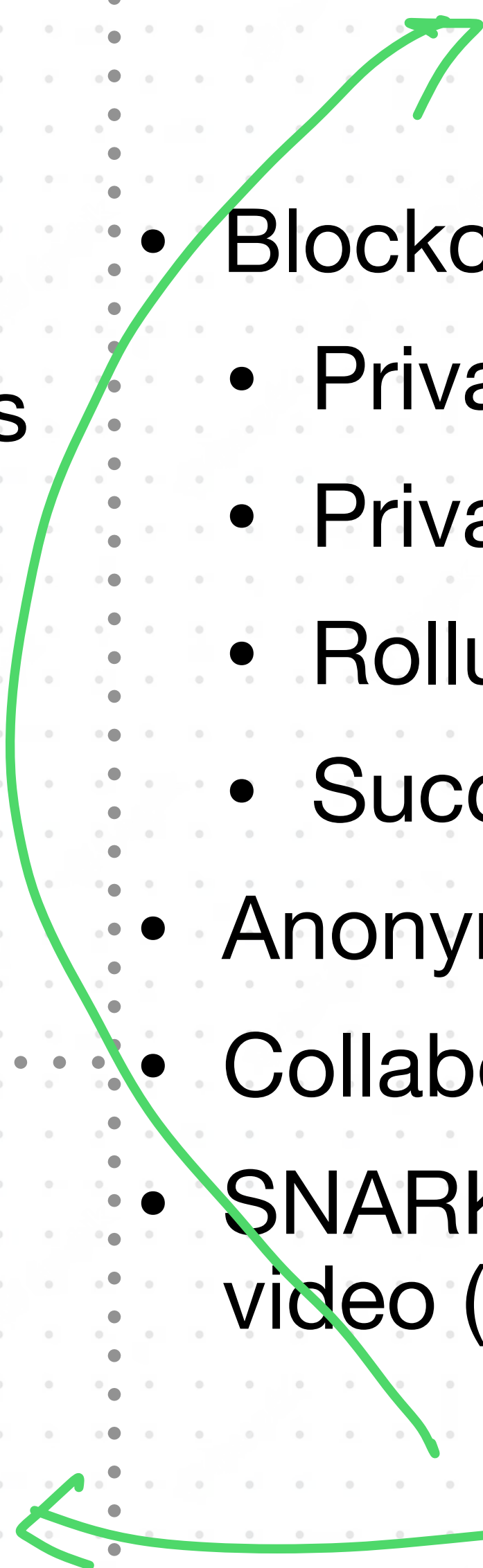
Part II: Programming SNARKs

- PLs for SNARKs
- Formal verification for SNARKs
- Implementation/Systems for SNARKs

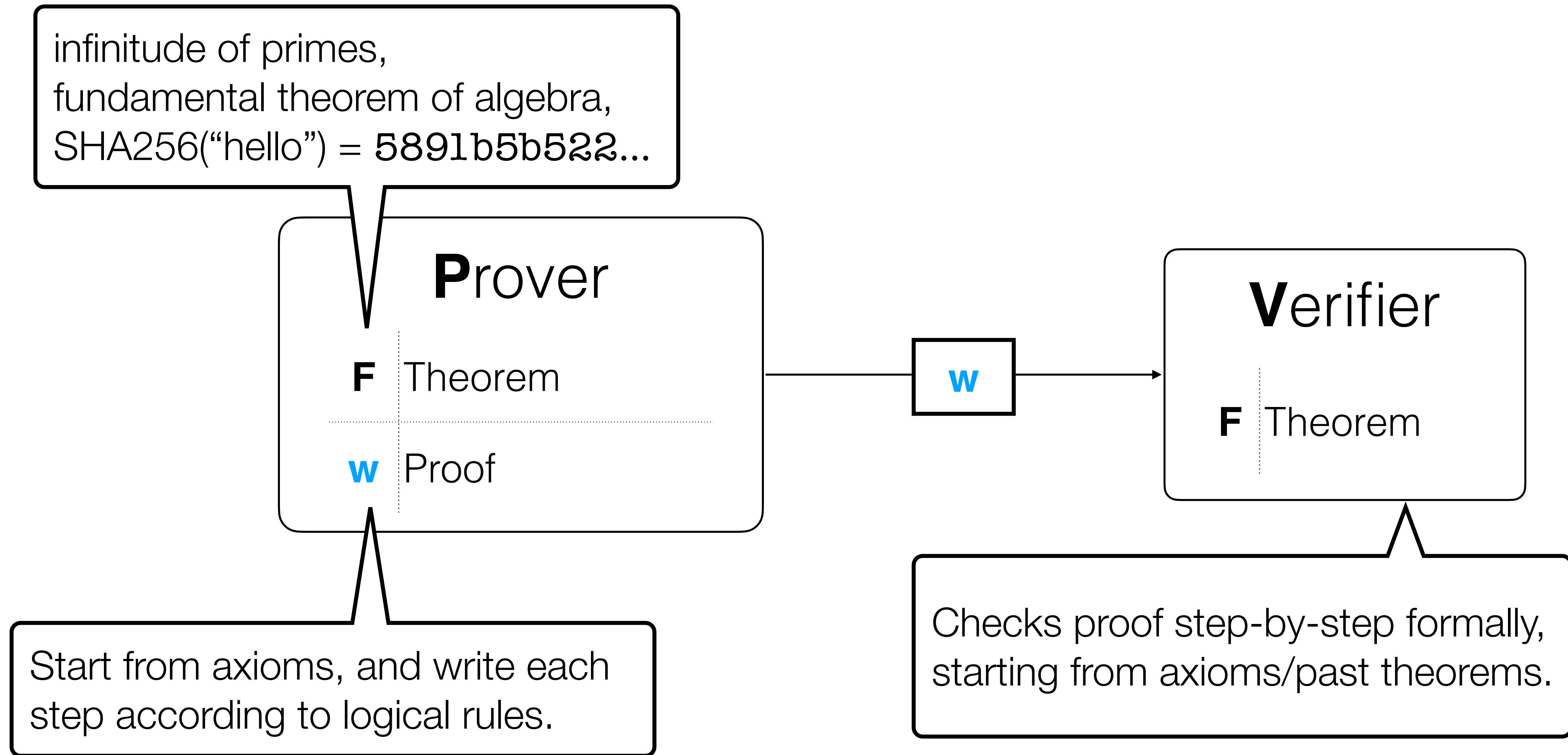
Part III: Applications

- Blockchains/transparency logs:
 - Privacy-preserving payments
 - Privacy-preserving smart-contracts
 - Rollups
 - Succinct blockchains
- Anonymous authentication/credentials
- Collaborative proving
- SNARKs to authenticate images/text/video (stop ChatGPT!)

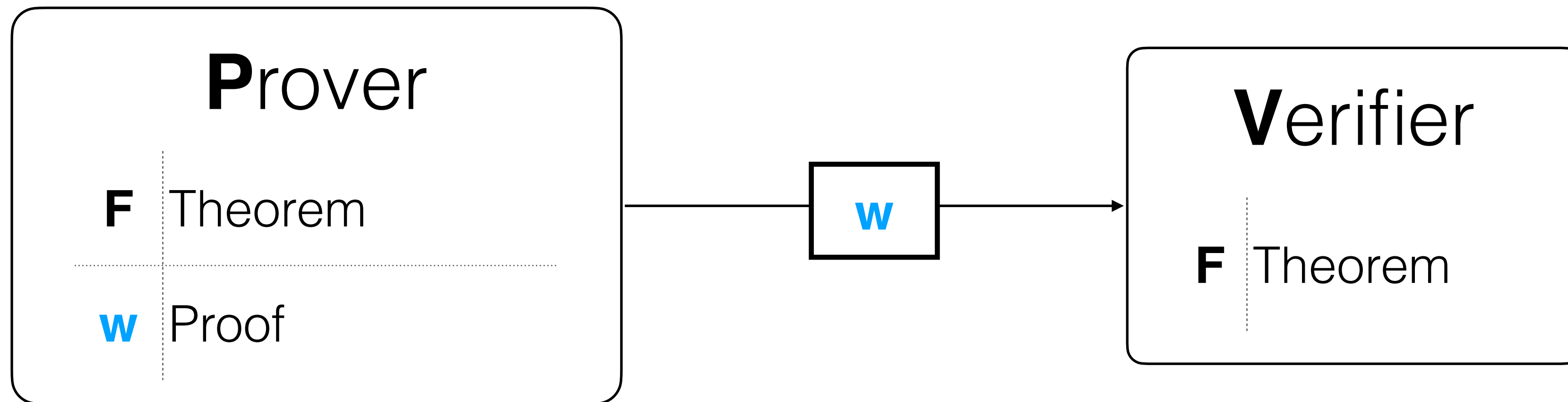
DISCUSSION



What does it mean to prove something?



Mathematical proofs = NP



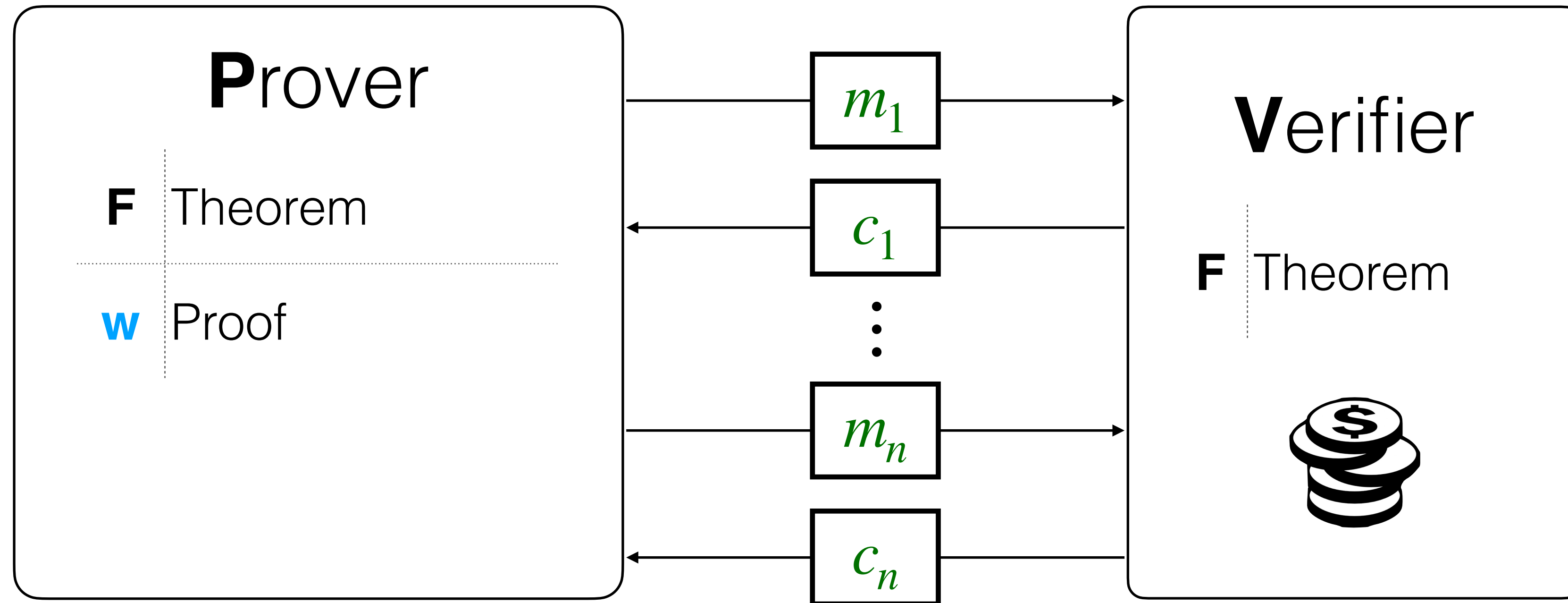
Completeness: For all *true* theorems, \exists a proof **w** that convinces the verifier

Soundness: For all *false* theorems, no claimed proof **w** can convince the verifier

Efficiency: The verifier is *deterministic* and *runs in polynomial time*.

II
NP

Adding randomness and interaction



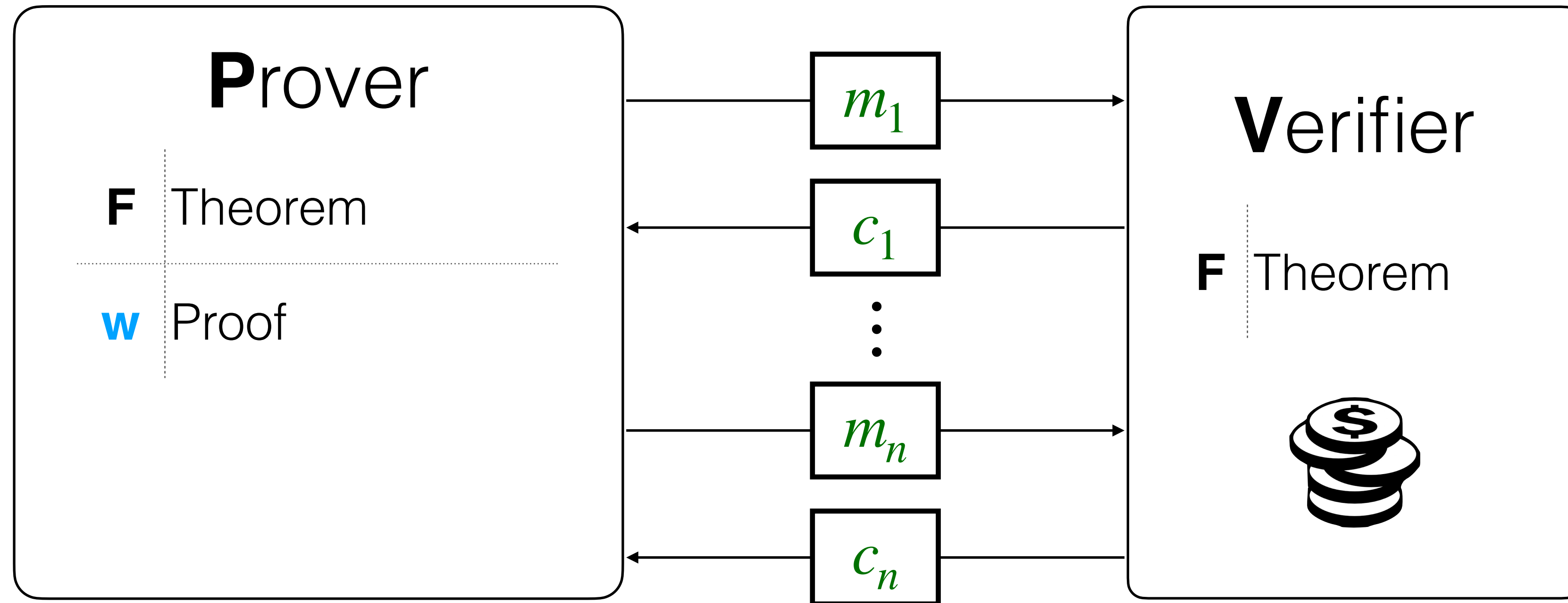
Completeness: For *true* theorems, \exists a prover that convinces the verifier wp 1.

Soundness: For *false* theorems, no prover can convince the verifier wp $\geq 1/2$.

Efficiency: The verifier is *randomized* and runs in *probabilistic polynomial time*.

= IP [GMR85]

Does it help? Yes!



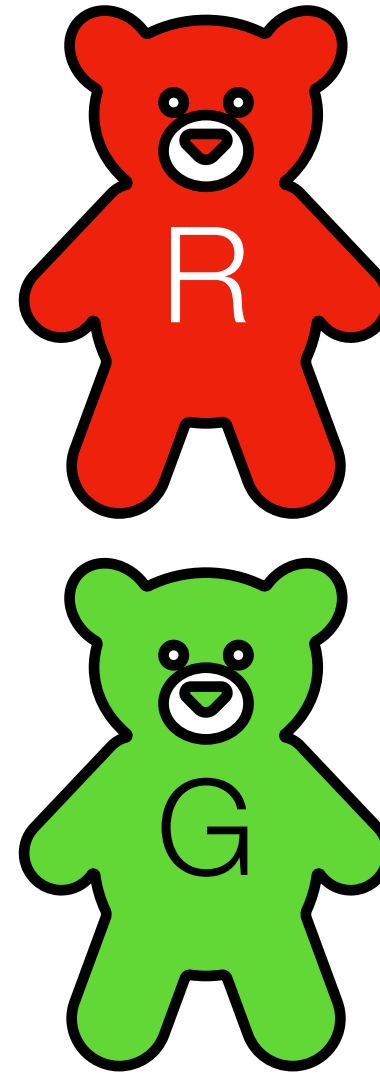
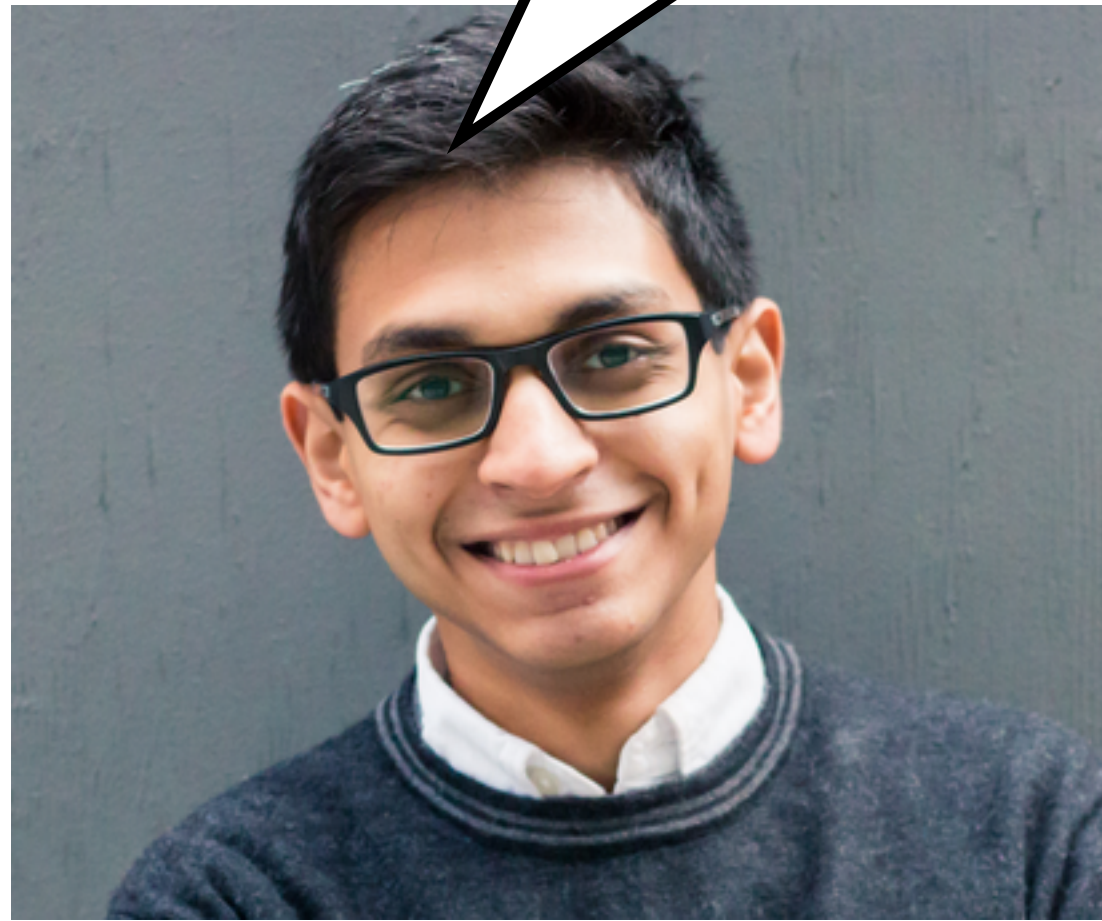
$\text{CoNP} \subseteq \text{IP}$ [GMW86]

$\text{IP} = \text{PSPACE}$ [S92]

Delegation of computation [GKR08]

Example: Color-blindness test

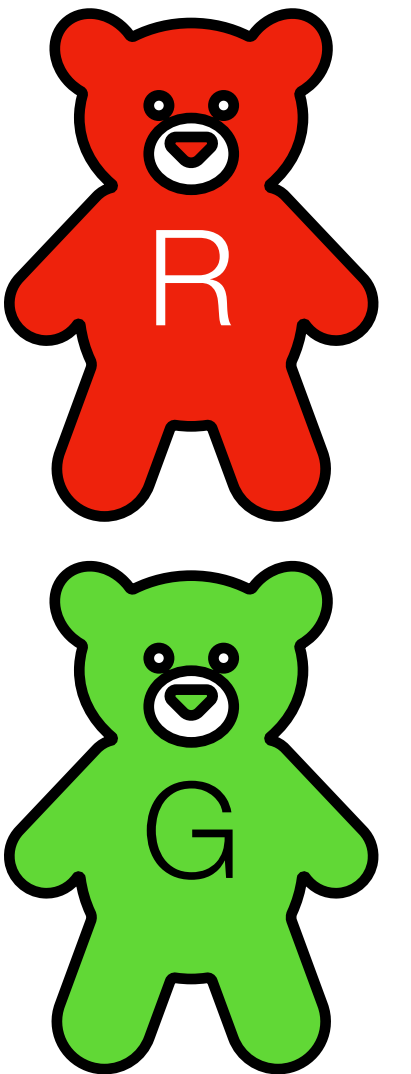
These bears are different colors.



How can I check this?



Example: Color-blindness test

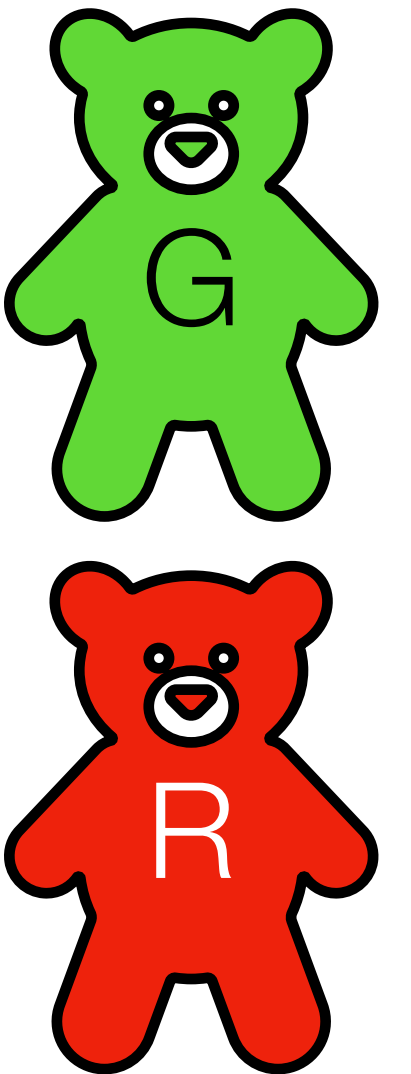


$b \leftarrow \{0,1\}$

If $b = 0$, do nothing

If $b = 1$, shuffle

Example: Color-blindness test

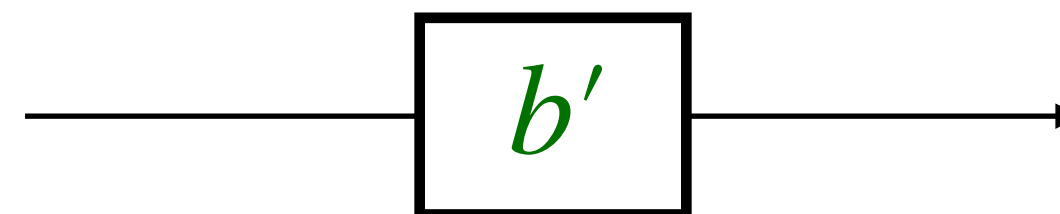


$b \leftarrow \{0,1\}$

If $b = 0$, do nothing

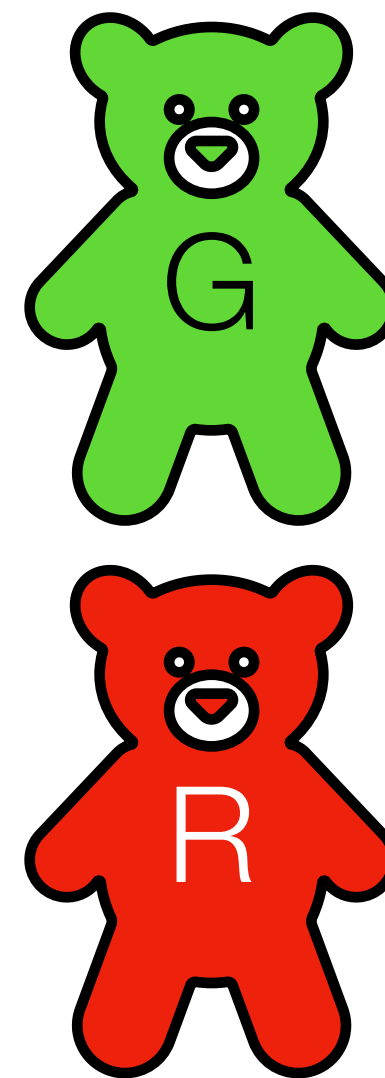
If $b = 1$, shuffle

$b' := 1$, if shuffled
 $b' := 0$, if not



$b \stackrel{?}{=} b'$

Example: Color-blindness test



Completeness: If the colors are different, then I will always detect shuffles.

Soundness: If the colors are not different, then I will guess wrong $1/2$ the time.

Efficiency: Verifier only needs to flip a coin and shuffle.

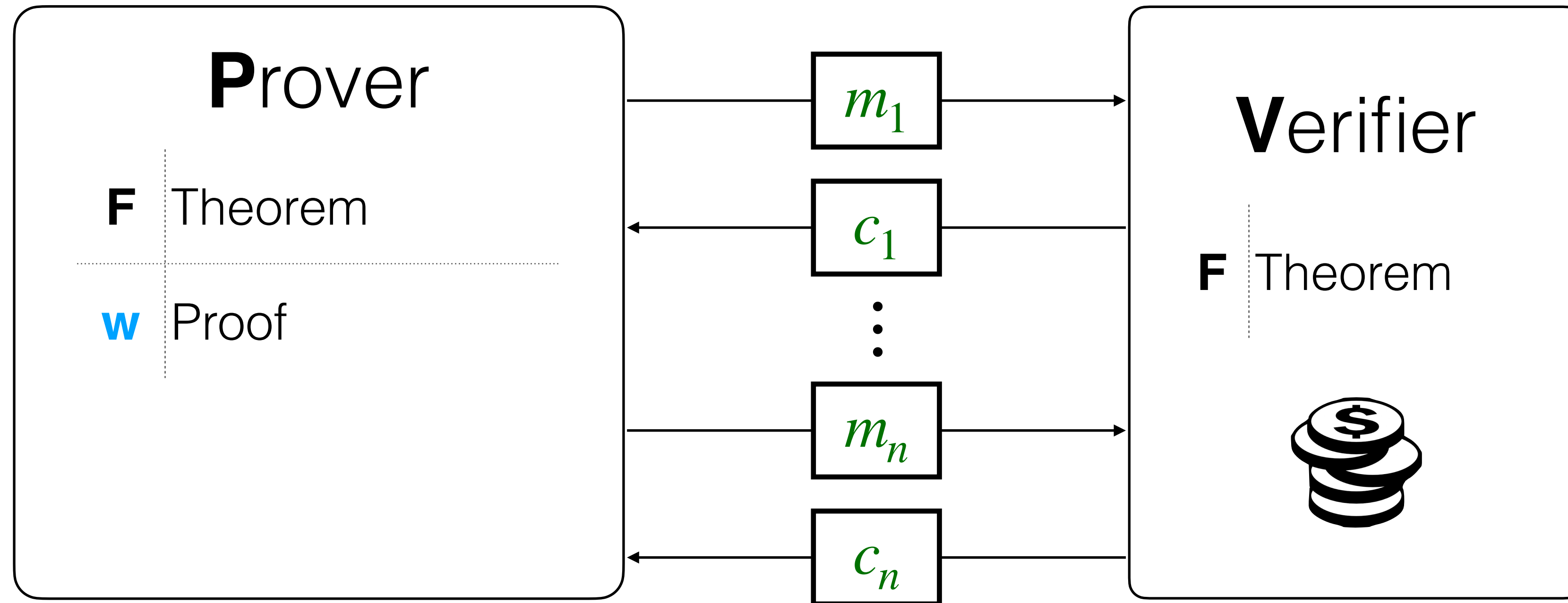
What about privacy?

Let's say the prover exerted a lot of effort in trying to find the proof of a difficult conjecture.

She wants to get recognition for this, but doesn't trust others to not steal credit.

She needs a ***zero-knowledge proof***.

Zero-knowledge proofs



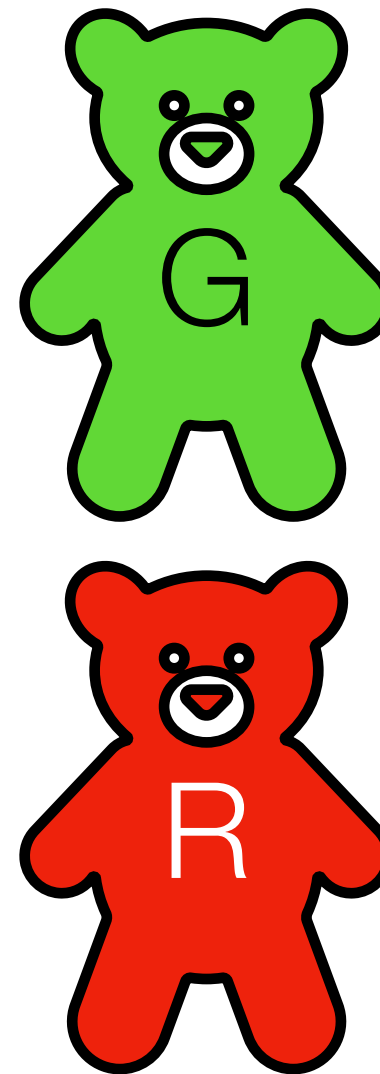
Completeness: For *true* theorems, \exists a prover that convinces the verifier wp 1.

Soundness: For *false* theorems, no prover can convince the verifier wp $\geq 1/2$.

Efficiency: The verifier is *randomized* and *runs in probabilistic polynomial time*.

Zero-knowledge: The verifier learns nothing about **w** except that it's valid.

Example: Color-blindness test



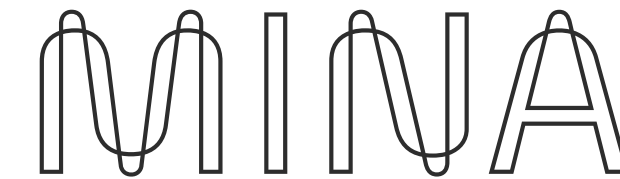
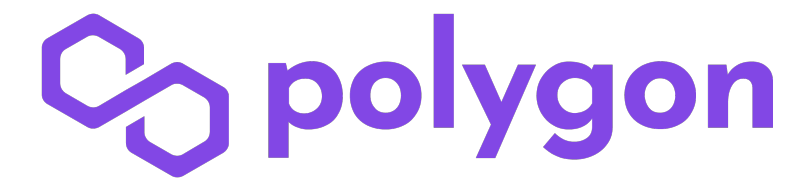
Completeness: If the colors are different, then I will always detect shuffles.

Soundness: If the colors are not different, then I will guess wrong $1/2$ the time.

Efficiency: Verifier only needs to flip a coin and shuffle.

Zero-knowledge: The verifier learns only that the colors are different; nothing else!

Many applications!



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**Private
transactions**

**Scalable and/or Private
Smart Contracts**

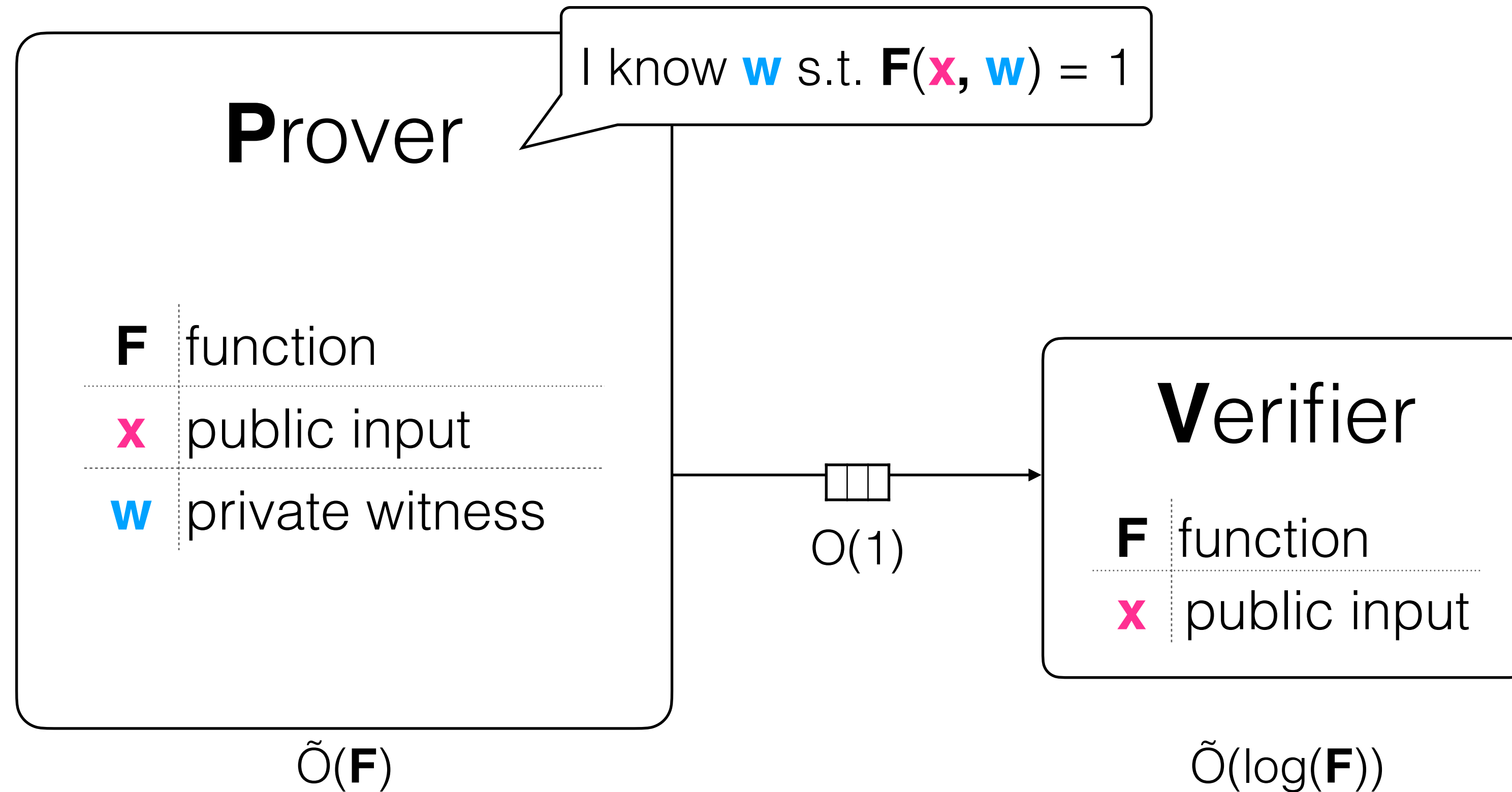


**Decentralized multiplayer
games**

- **Anonymous credentials [DFKP16]**
- **Prove existence of security vulnerability [DARPA Sieve, OBW22]**
- **Coercion-resistant voting [MACI]**
- ...

Succinct Non-Interactive Arguments (SNARKs)

[Mic94, Groth10, GGPR13, Groth16...]
..., GWC19, CHMMVW20, ...]



Succinctness: **V** runs in time much less than $|F|$

How to construct zkSNARKs?

A: Polynomials!

